

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY DOCKET NO.

10177-191

APPLICATION NO

To be assigned

APPLICANT

Ding et al.

FILING DATE

June 24, 2003

GROUP

To be assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TS	AA	6,198,016	3/06/01	Lucast et al			
	AB	6,110,483	9/29/00	Whitbourne et al.			
	AC	6,099,562	8/08/00	Ding et al			
	AD	6,096,070	08/01/00	Ragheb et al			
	AE	6,042,875	3/28/00	Ding et al.			
	AF	5,980,972	11/09/99	Ding			
	AG	5,900,246	5/04/99	Lambert			
	AH	5,849,034	12/15/98	Schwartz			
	AI	5,824,054	10/20/98	Khosravi et al			
	AJ	5,824,048	10/20/98	Tuch			
	AK	5,800,507	9/01/98	Schwartz			
	AL	5,779,732	7/14/98	Amundson			
	AM	5,776,184	7/07/98	Tuch			
	AN	5,749,915	5/12/98	Slepian			
	AO	5,735,897	4/07/98	Buirge			
	AP	5,716,981	2/10/98	Hunter et al.			
	AQ	5,700,559	12/23/97	Sheu et al			
	AR	5,697,967	12/16/97	Dinh et al.			
	AS	5,688,855	11/18/97	Stoy et al.			
	AT	5,679,400	10/21/97	Tuch			
	AU	5,662,712	9/02/97	Pathak et al.			
	AV	5,643,580	7/01/97	Subramanian			
	AW	5,637,113	6/10/97	Tartaglia et al.			
	AX	5,632,840	5/27/97	Campbell			
	AY	5,629,077	5/13/97	Turnlund et al			
	AZ	5,624,411	04/29/97	Tuch			
	BA	5,605,696	2/25/97	Eury et al.			
	BB	5,591,227	1/07/97	Dinh et al			
	BC	5,591,224	1/07/97	Schwartz et al			
	BD	5,578,075	11/26/96	Dayton			
	BE	5,551,954	9/03/96	Buscemi et al.			
	BF	5,545,208	8/13/96	Wolff et al			
	BG	5,534,155	8/06/96	Fekete et al			
	BH	5,512,055	4/30/96	Domb et al			
TS	BI	5,500,013	3/19/96	Buschemi et al			

13	BJ	5,496,557	3/05/96	Feijen et al			
	BK	5,486,357	1/23/96	Narayanan			
	BL	5,474,563	12/12/95	Myler et al			
	BM	5,464,650	11/7/95	Berg et al.			
	BN	5,449,382	9/12/95	Dayton			
	BO	5,447,724	9/5/95	Helmus et al.			
	BP	5,429,618	7/4/95	Keogh			
	BQ	5,419,760	5/30/95	Narciso, Jr.			
	BR	5,415,619	5/16/95	Lee et al.			
	BS	5,391,378	2/21/95	Sanderson			
	BT	5,380,299	01/10/95	Fearnot et al.			
	BU	5,356,433	10/18/94	Rowland et al.			
	BV	5,342,348	8/30/94	Kaplan			
	BW	5,338,770	8/16/94	Winters et al.			
	BX	5,318,779	6/07/94	Hakamatsuka et a			
	BY	5,308,889	5/03/94	Rhee et al.			
	BZ	5,304,121	4/19/94	Sahatjian			
	CA	5,292,802	3/8/94	Rhee et al.			
	CB	5,282,823	2/01/94	Schwartz et al.			
	CC	5,262,451	11/16/93	Winters et al.			
	CD	5,258,020	11/02/93	Froix			
	CE	5,226,913	7/13/93	Pinchuk			
	CF	5,222,971	6/29/93	Willard et al			
	CG	5,185,408	2/09/93	Tang et al.			
	CH	5,182,317	1/26/93	Winters et al.			
	CI	5,180,376	1/19/93	Fischell			
	CJ	5,180,366	1/19/93	Woods			
	CK	5,163,952	11/17/92	Froix			
	CL	5,102,417	4/07/92	Palmaz			
	CM	5,092,877	3/3/92	Pinchuk			
	CN	5,064,435	11/12/91	Porter			
	CO	5,061,275	10/29/91	Wallsten et al.			
	CP	5,059,166	10/22/91	Fischell et al.			
	CQ	5,053,048	10/01/91	Pinchuk			
	CR	5,019,096	5/28/91	Fox Jr. et al			
	CS	4,994,071	2/19/91	McGregor			
	CT	4,990,158	2/05/91	Kaplan et al			
	CU	4,954,126	9/4/90	Wallsten			

13	CV	4,922,905	5/08/90	Strecker			
	CW	4,916,193	4/10/90	Tang et al.			
	CX	4,886,062	12/12/89	Wiktor			
	CY	4,872,867	10/10/89	Joh et al			
	CZ	4,776,337	10/11/88	Palmaz			
	DA	4,768,507	9/06/88	Fischell et al			
	DB	4,739,762	4/26/88	Palmaz			
	DC	4,689,046	8/25/87	Bokros			
	DD	4,678,466	7/07/87	Rosenwald			
	DE	4,655,771	4/07/87	Wallsten			
	DF	4,613,665	9/23/86	Larm			
	DG	4,300,244	11/17/81	Bokros			
	DH	4,292,965	10/06/81	Nash et al.			
	DI	4,219,520	8/26/80	Kline			
	DJ	3,952,334	4/27/76	Bokros et al			
	DK	3,932,627	01/13/76	Margaf			
	DL	3,879,516	4/22/75	Wolvek			
	DM	3,738,365	6/12/73	Schulte			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
13	DN	CA 2,207,659 ✓	06/13/96	Canada				
	DO	DE A 3 918 736 ✓	10/90	Germany (with English lang, abstract)				
	DP	DE A 40 22 956 ✓	12/69	Germany (with English lang, abstract)				
	DQ	EP 0 604 022 A1 ✓	11/24/93	EPO				
	DR	EP 0 621 015 ✓	04/23/04	EPO				
	DS	EP A 0 430 542 ✓	11/20/90	EPO				
	DT	EP A 0 435 518 ✓	12/13/90	EPO				
	DU	EP A 0 623 345 ✓	05/03/94	EPO				
	DV	EP 0 716 836 A1 ✓	12/11/95	EPO				
	DW	EP 0 734 721 A2 ✓	03/20/96	EPO				
	DX	GB A 1 205 743 ✓	07/15/96	London				
	DY	GB A 2 153 253 ✓	1/25/85	UK				
	DZ	PCT/IB 96/00272 ✓	06/26/96	PCT				
	EA	WO 89/03232 ✓	04/20/89	PCT				
	EB	WO 90/13332 ✓	11/15/90	PCT				
	EC	WO 91/12779 ✓	09/05/91	PCT				
	ED	WO 92/15286 ✓	09/17/92	PCT				
	EE	WO 94/01056 ✓	1/20/94	PCT				
	EF	WO 94/24961 ✓	11/10/94	PCT				
	EG	WO 94/21308 ✓	09/29/94	PCT/US94/02488				

13	EH	WO 94/21309	✓	09/29/94	PCT/BE94/00024				
	EI	WO 96/32907	✓	10/24/96	PCT				
	EJ	WO 97/10011	✓	03/20/97	PCT				
	EK	08-33718	✓	02/06/96	Japan (with English lang, abstract)				
	EL	06-121828	✓	06/05/94	Japan (with English lang, abstract)				
	EM	03-297469	✓	12/27/91	Japan (with English lang, abstract)				
13	EN	06-205838	✓	07/26/94	Japan (with English lang, abstract)				

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13	EO	Bergstrom, Reduction of fibrinogen adsorption on PEG-coated polystyrene surfaces, 1992, p. 779-790, Baxter Healthcare Corp. Duraflo Biocompatible Treatment	✓
	EP	Michael N. Helmus, "Medical Device Design--A Systems Approach: Central Venous Catheters", (1990)	✓
	EQ	Polysciences Inc., TDMAC-Heparin Coatings, Nov. 1988, Data Sheet #172	✓
	ER	Barbucci, et al., Coating of Commercially available materials with a new heparinizable material, 1991, pp. 1259-1274	✓
	ES	Michael N. Helmus, Grant Application-Ionic-Hydrophilic Density: Platelet/Monocyte Adherence 12/81 12/84, pp. 13, 14, 26-31	✓
	ET	Dennis E. Chenoweth, Complement Activation in Extracorporeal Circuits, pp. 306-329	✓
	EU	Jeffrey A. Hubbell, Ph.D., July-Sept. 1993 Pharmacologic Modification of Materials, 1215-1275	✓
	EV	Glenn P. Gradlee, MD, Heparin-Coated Cardiopulmonary Bypass Circuits, Journal of Cardiothoracic and Vascular Anesthesia, Vol. 8, No. 2, April 1994, pp. 213-222	✓
	EW	K. Ishihara, H. Hanyuda, and N. Nakabayashi, Synthesis of phospholipid polymers having a urethane bond..., Biomaterials, 1995, pp. 873-879	✓
	EX	J. Sanchez, G. Elgue, J. Riesenfeld and P. Olsson, Control of Contact activation on end-point immobilized heparin, The role of antithrombin and the specific antithrombin-binding sequence, 1995, pp. 655-661, Journal of Biomedical Materials Research	✓
	EY	Cardiology Conference European Society of Cardiology Conference Clinica, Sept. 4, 1995, pp. 24-26	✓
	EZ	Baxter Healthcare Corp. Duroflo Biocompatible Treatment	✓
	FA	Ludwig K. von Segesser, MD., "Heparin-Bonded Surfaces in Extracorporeal Membrane Oxygenation for Cardiac Support", The Society of Thoracic Surgeons, (1996)	✓
	FB	Li-Chien Hsu, "Principles of Heparin-Coating Techniques", Perfusion 6:209-219 (1991)	✓
	FC	J.M. Toomasian et al., "Evaluation of Duraflo II Heparin Coating in Prolonged Extracorporeal Membrane Oxygenation", ASAIO Trans 34: 410-14 (1988)	✓
	FD	S.D. Tong et al., "Non-Thrombogenic Hemofiltration System for Acute renal failure Treatment: ASAIO Trans. 38: M702-M706 (1992)	✓
13	FE	Mansoor Amiji and Kinam Park, "Surface Modification of Polymeric Materials with Poly (ethylene oxide), Albumin, and Heparin For Reduced Thrombogenicity," Purdue University, School of Pharmacy, West Lafayette, IN 47907	✓

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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10177-191

APPLICATION NO

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APPLICANT

Ding *et al.*

FILING DATE

June 24, 2003

GROUP

4829

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
173	FF	6,120,536	09/19/00	Ding, <i>et al.</i>			
	FG	5,877,224	03/02/99	Brocchini, <i>et al.</i>			
	FH	5,837,313	11/17/98	Ding, <i>et al.</i>			
	FI	5,637,113	06/10/97	Tartaglia, <i>et al.</i>			
	FJ	5,591,227	01/07/97	Dinh <i>et al.</i>			
	FK	5,569,463	10/29/96	Helmus, <i>et al.</i>			
	FL	5,523,092	06/04/96	Hanson, <i>et al.</i>			
	FM	5,512,055	04/30/96	Dohm, <i>et al.</i>			
	FN	5,474,563	12/12/95	Myler, <i>et al.</i>			
	FO	5,399,352	03/21/95	Hanson			
	FP	5,383,928	01/24/95	Scott, <i>et al.</i>			
	FQ	5,378,475	01/03/95	Smith, <i>et al.</i>			
	FR	5,282,823	02/01/94	Schwartz, <i>et al.</i>			
	FS	5,222,971	06/29/93	Willard, <i>et al.</i>			
	FT	5,102,417	04/07/92	Palmaz, <i>et al.</i>			
	FU	5,059,166	10/22/91	Fischell, <i>et al.</i>			
	FV	5,034,265	07/23/91	Hoffman, <i>et al.</i>			
	FW	5,019,096	05/28/91	Fox, <i>et al.</i>			
	FX	4,786,500	11/22/88	Wong			
	FY	4,768,507	09/06/88	Fischell, <i>et al.</i>			
	FZ	4,739,762	04/26/88	Palmaz			
	GA	4,689,046	08/25/87	Bokros			
	GB	4,687,482	08/18/87	Hanson			
	GC	4,656,083	04/07/87	Hoffman, <i>et al.</i>			
	GD	4,300,244	11/17/81	Bokros			
173	GE	3,952,334	04/27/76	Bokros, <i>et al.</i>			
	GF	3,526,005	09/01/70	Bokros, <i>et al.</i>			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
173	GG	EP 0623354A1	04/20/94	EPO			<input type="checkbox"/>	<input type="checkbox"/>

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173	GH	Alvarado, <i>et al.</i> "Evaluation of Polymer-Coated Balloon Expandable Stents in Bile Ducts," Radiology 165 (suppl.):331 (1987)						
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## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

13	GI	Bailey <i>et al.</i> , "Polymer Coating of Palmaz-Schatz Stent Attenuates Vascular Spasm after stent placement." <i>Circulation</i> 82:III-541 (1990)
	GJ	Binmoeller, <i>et al.</i> , "Silicone-Covered Expandable Metallic Stents in the Esophagus: An Experimental Study" <i>Endoscopy</i> 1992; 24:416-20.
	GK	Biomaterials Science (B.D. Ratner, Ed.), Academic Press, New York, NY, pp. 228-38, 1996
	GL	Charnsangavej, <i>et al.</i> , "A New Expandable Metallic Stent For Dilatation of Stenotic Tubular Structures: Experimental and Clinical Evaluation" <i>Houston Medical Journal</i> , June 1987
	GM	Hanson, <i>et al.</i> , "In vivo evaluation of artificial surfaces with a nonhuman primate model of arterial thrombosis," <i>J. Lab. Clin. Med.</i> 95:289-304; 1980
	GN	Kost, J. Langer R, "Controlled Release of Bioactive Agents," <i>Trends in Biotechnology</i> , Vol. 2, No. 2, 1984, pp. 47-51
	GO	Sigwart, <i>et al.</i> , "Intravascular Stents to Prevent Occlusion and Restenosis After Transluminal Angioplasty," <i>N. Engl. J. Med.</i> , Mar. 19, 1987, Vol. 316, No. 12, pp.701-06
	GP	Sigwart, U., Frank, G.I. <i>Coronary Stents</i> . Springer -Verlag, 1992.
	GQ	Van Der Giessen, <i>et al.</i> , "Self-expandable Mesh Stents: an Experimental Study Comparing Polymer Coated and Uncoated Wallstent Stents in the Coronary Circulation of Pigs" <i>Circulation</i> 82:III-542 (1990)
	GR	Van Der Giessen, <i>et al.</i> , "Coronary Stenting With Polymer-Coated And Uncoated Self-Expanding Endoprosthesis In Pigs" <i>Coron. Art. Disease</i> 1992; 3:631-40
	GS	Wu, <i>et al.</i> , "Silicone-covered Self-expanding Metallic Stents for the Palliation of Malignant Esophageal Obstruction and Esophagorespiratory Fistulas: Experience in 32 Patients and a Review of the Literature," <i>Gastrointest. Endosc.</i> 1994; 40:22-33
	GT	Complaint, Civil Action No. 03-283, Filed 3/13/03, U.S. District Court for the District of Delaware
	GU	Defendants' Answer, Counterclaim And Demand For Jury Trial, Civil Action No. 03-283, Filed 4/7/03, U.S. District Court for the District of Delaware
	GV	Plaintiffs' Reply To Defendant's Counterclaim, Civil Action No. 03-283 Filed 4/21/03, U.S. District Court for the District of Delaware
	GW	Plaintiffs' Opening Brief In Support Of Their Motion For Preliminary Injunction, Civil Action No. 03-283 Filed 3/20/03, U.S. District Court for the District of Delaware
	GX	Declaration Of Eric Simso, Civil Action No. 03-283, Dated 3/20/03, U.S. District Court for the District of Delaware
	GY	Declaration Of Jermone Segal, M.D., Civil Action No. 03-283 Dated 3/20/03, U.S. District Court for the District of Delaware
	GZ	Declaration Of Kinam Park, Ph.D., Civil Action No. 03-283 Dated 3/20/03, U.S. District Court for the District of Delaware
	HA	Answering Memorandum In Opposition To Plaintiffs' Motion For A Preliminary Injunction, Civil Action No. 03-283 Dated 4/3/03, U.S. District Court for the District of Delaware
	HB	Appendix To Answering Memorandum In Opposition To Plaintiffs' Motion For A Preliminary Injunction, Volume 1, Civil Action No.03-283 Dated 4/3/03, U.S. District Court for the District of Delaware
	HC	Appendix To Answering Memorandum In Opposition To Plaintiffs' Motion For A Preliminary Injunction, Volume 2, Civil Action No.03-283 Dated 4/3/03, U.S. District Court for the District of Delaware
	HD	Declaration Of Dr. Richard E. Kuntz, M.D. M.Sc., Civil Action No. 03-283 SLR, Dated 3/21/03, U.S. District Court for the District of Delaware
	HE	Declaration Of Dr. Jeffrey W. Moses, M.D., Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the District of Delaware
	HF	Declaration Of Dr. Peter Fitzgerald, M.D., Ph.D., Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the District of Delaware
	HG	Declaration Of Brian G. Firth, Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the District of Delaware
	HH	Declaration Of Dr. Paul S. Teirstein, M.D., F.A.C.C., Civil Action No. 03-283 SLR, Dated 3/28/03, U.S. District Court for the District of Delaware
	HI	Declaration Of Dr. Martin B. Leon, M.D., Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the District of Delaware
	HJ	Plaintiffs' Reply Brief In Support Of their Motion For Preliminary Injunction, Civil Action No. 03-283 SLR, Dated 4/10/03, U.S. District Court for the District of Delaware
	HK	Second Declaration Of Kinam Park, Ph.D., Civil Action No. 03-283 SLR, Dated 4/10/03, U.S. District Court for the District of Delaware
	HL	Second Declaration Of Jerome Segal, M.D., Civil Action No. 03-283 SLR, Dated 4/10/03, U.S. District Court for the District of Delaware
	HM	Opening Expert Report Of Stephen R. Hanson, Ph.D., Civil Action No. 03-283 SLR, Dated 5/23/03, U.S. District Court for the District of Delaware
	HN	Opening Expert Report Of Robson F. Storey, Ph.D., Civil Action No. 03-283 SLR, Dated 5/23/03, U.S. District Court for the District of Delaware
13	HO	Rebuttal Expert Report Of Kiman Park. Ph.D., Civil Action No.03-283 SLR, Dated 6/2/03, U.S. District Court for the

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

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13	HP	Preliminary Injunction Hearing Transcript, Volume A, Civil Action No. 03-27 (SLR), Dated 7/21/03, U.S. District Court for the District of Delaware
13	HQ	Preliminary Injunction Hearing Transcript, Volume B, Civil Action No. 03-27 (SLR), Dated 7/22/03, U.S. District Court for the District of Delaware
13	HR	Preliminary Injunction Hearing Transcript, Volume C, Civil Action No. 03-27 (SLR), Dated 7/23/03, U.S. District Court for the District of Delaware

EXAMINER

DATE CONSIDERED

7/8/04

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